

PHONE: (207) 287-1133

STATE OF MAINE BOARD OF NURSING 158 STATE HOUSE STATION AUGUSTA, MAINE 04333-0158

MYRA A. BROADWAY, J.D., M.S., R.N. EXECUTIVE DIRECTOR

IN RE: KAREN J. LEA of Augusta, Maine License #R050106 CONSENT AGREEMENT FOR VOLUNTARY SURRENDER OF LICENSE

INTRODUCTION

This document is a Consent Agreement ("Agreement") regarding Karen J. Lea's license to practice registered professional nursing in the State of Maine. The parties to this Agreement are Karen J. Lea's ("Ms. Lea" or "Licensee"), Maine State Board of Nursing ("Board") and the Office of the Attorney General, State of Maine. The parties enter into this Agreement pursuant to 32 M.R.S.A. § 2105-A (1-A) (C), 10 M.R.S.A. §§ 8003 (A-1) (4), 8003 (5) (B) and 10 M.R.S.A. § 8003 (5) (D). The parties reached this Agreement on the basis of 1) Board Notice of Complaint dated December 7, 2009 with information from the Division of Licensing and Regulatory Services, Department of Health and Human Services dated December 1, 2009; 2) Ms. Lea's response dated April 6, 2010; 3) Board Notice of Provider Report dated May 12, 2010 with information from Bangor Nursing & Rehabilitation Center ("Bangor Rehab") received May 12, 2010; 4) Correspondence from MAS Medical Staffing dated June 8, 2010; 5) Ms. Lea's response dated July 25, 2010; and 6) Judgment and Commitment dated August 3, 2010 regarding conviction of Two Counts of Unlawful Possession of Scheduled Drug (Class E).

FACTS

- 1. Karen J. Lea has been licensed as a registered professional nurse to practice in Maine since July 2004. At the time of the incidents cited in this Agreement, Ms. Lea had an active nursing license. On September 2, 2010, the Board summarily suspended her license pending hearing.
- 2. An investigation was conducted by the Department of Health and Human Services, Licensing and Regulatory Services ("LR&S"), regarding an alleged drug diversion at MaineGeneral Glenridge on March 10, 2008. On December 1, 2009, LR&S reported to the Board that it had substantiated the allegation against Karen J. Lea for drug diversion at MaineGeneral Glenridge and provided the Board with a summary report [Complaint #6483, which is incorporated herein and attached hereto and marked as Exhibit 1].
- 3. On May 12, 2010, the above-referenced complaint was received from Bangor Rehab regarding drug diversion, substandard nursing practice relative to drug administration documentation, and working while impaired. A copy of the Provider Report from Bangor Rehab is incorporated herein and attached hereto and marked as Exhibit 2.
- 4. On August 3, 2010, Ms. Lea was convicted of Two Counts of Unlawful Possession of Scheduled Drugs [Class E Misdemeanor] in Penobscot County District Court Docket #PENCD-CR2010-01744. A copy of the Judgment and Commitment is incorporated herein and attached hereto and marked as Exhibit 3.
- 5. Karen J. Lea has agreed to surrender her registered professional nurse license and hereby waives her rights to a hearing in this matter before the Board.



AGREEMENT

- 6. In lieu of a hearing before the Board, Karen J. Lea agrees to voluntarily surrender her registered professional nurse license; the Maine State Board of Nursing will accept her offer.
- 7. Karen J. Lea understands that based upon the above-stated facts, this document imposes discipline regarding her license to practice as a registered professional nurse in the State of Maine. The grounds for discipline for violations are under 32 M.R.S.A.§ 2105-A(2)(B), (2)(F), (2)(H) and Chapter 4, sections 1(A)(2), 1(A)(6), 1(A)(8) and Chapter 4, sections 3(F), 3(K), 3(O) and 3(P) of the Rules and Regulations of the Maine State Board of Nursing. Specifically, the violations are:
 - a. M.R.S.A. § 2105-A (2) (B). Habitual substance abuse that has resulted or is foreseeably likely to result in Ms. Lea performing services in a manner that endangers the health or safety of patients. (See also Rule Chapter 4. Section 1.A.2)
 - b. M.R.S.A. § 2105-A (2) (F). Unprofessional Conduct. Ms. Lea engaged in unprofessional conduct because she violated a standard of professional behavior that has been established in the practice for which she is licensed. (See also Rule Chapter 4, Section 1.A.6.)
 - c. M.R.S.A. § 2105-A (2) (H). A violation of this chapter or a rule adopted by the Board. (See also Rule Chapter 4, Section 1.A.6.)
 - d. Rule Chapter 4, Section 3. Unprofessional conduct, defined as nursing behavior which fails to conform to legal standards and accepted standards of the nursing profession, and which could reflect adversely on the health and welfare of the public shall constitute unprofessional conduct and shall include, but shall not be limited to, the following:
 - 1) Chapter 4, Section 3(F). Ms. Lea failed to take appropriate action and follow policies and procedures in a practice situation designed to safeguard a patient.
 - 2) Chapter 4, Section 3(K). Ms. Lea inaccurately recorded medication administration documentation in a health care provider record.
 - 3) Chapter 4, Section 3(O). Ms. Lea practiced nursing when her physical or mental ability to practice were impaired by drugs.
 - 4) Chapter 4, Section 3(P). Ms. Lea diverted drugs from a health care provider.
- 8. Karen J. Lea neither admits nor denies the facts contained herein, but acknowledges that if this matter went to a hearing before the Board, it is more likely than not the above-stated facts contained herein and underlying investigative information would support the licensing violations in this Agreement.
- 9. Karen J. Lea understands and agrees that her license will remain on surrender status and subject to the terms of this Agreement indefinitely until and unless the Board, at her written request, votes to reinstate her license; said request for reinstatement not to be made before one year from the date of this fully-executed Consent Agreement. Ms. Lea agrees and understands that if the Board reinstates her license, it will be for a probationary period.

- 10. Karen J. Lea must be evaluated by the Maine Professionals Health Program to determine whether she has a substance abuse problem. If it is determined that she does, she must submit to treatment and continue in any aftercare treatment programs to such an extent and for as long as her treatment providers recommend.
- 11. The State of Maine is a "party state" that has adopted the Nurse Licensure Compact ("Compact"), which is set out in Chapter 11 of the Rules and Regulations of the Maine State Board of Nursing. The State of Maine is Ms. Lea's "home state" of licensure and primary state of residence, which means she has declared the State of Maine as her fixed permanent and principle home for legal purposes; her domicile. Other party states in the Compact are referred to as "remote states," which means party states other than the home state that have adopted the Compact. Ms. Lea understands this Consent Agreement is subject to the Compact.
- 12. Ms. Lea understands that she does not have to execute this Consent Agreement and has the right to consult with an attorney before entering into the Agreement.
- 13. Karen J. Lea shall not work or volunteer in any capacity for a health care provider as defined by Title 24 M.R.S.A. § 2502 (2) or in any position holding herself out as a registered professional nurse or with the designation "R.N.," including in a veterinarian's office, while her nursing license is surrendered. In addition, she is not to seek employment where the handling or dispensing of drugs is part of the job responsibility.
- 14. This Agreement is a public record within the meaning of 1 M.R.S.A. § 402 and will be available for inspection and copying by the public pursuant to 1 M.R.S.A. § 408.
- 15. Modification of this Agreement must be in writing and signed by all parties.
- 16. This Agreement is not subject to review or appeal by the Licensee, but may be enforced by an action in the Superior Court.
- 17. Karen J. Lea affirms that she executes this Agreement of her own free will.
- 18. This Agreement becomes effective upon the date of the last necessary signature below.

I, KAREN J. LEA, HAVE READ AND UNDERSTAND THE FOREGOING CONSENT AGREEMENT. I UNDERSTAND THAT BY SIGNING IT, I WAIVE CERTAIN RIGHTS. I SIGN IT VOLUNTARILY, KNOWINGLY, AND INTELLIGENTLY AND AGREE TO BE BOUND BY THIS AGREEMENT. I UNDERSTAND THAT THIS CONSENT AGREEMENT CONTAINS THE ENTIRE AGREEMENT AND THERE IS NO OTHER AGREEMENT OF ANY KIND.

DATED: <u>NOV 5, 2010</u>

KARIN J. LI

FOR THE MAINE STATE BOARD OF NURSING

DATED: 100, 5,2010

MYRA'A. BROADWAY, J.D., M.S., R.N.

Myrabroadway

Executive Director

FOR THE OFFICE OF THE ATTORNEY GENERAL

JOHN H. RICHARDS

Assistant Attorney General



Department of Health and Human Services

Maine People Living Sale, Healthy and Productive Lives

lohn E. Baldacci, Governor Brenda M. Harve

Brenda M. Harvey, Commissioner

Department of Health and Licensing and Res

STATE'S EXHIBIT 1

11 State House Station Augusta, Maine 04333

Tel: (207) 287-9300; Toll Free: 1-800-791-4080 Fax: (207) 287-9307; TTY: 1-800-606-0215

December 1, 2009

Myra Broadway, J.D., M.S., R.N. Executive Director
Maine State Board of Nursing
161 Capitol Street
158 State House Station
Augusta, ME 04333

RE:

Karen Lea, RN

Maine General Glenridge – ME#6483

Richard Dwyer, HFS

RECEIVED

DEC 4 2009

MAINE STATE BOARD OF NURSING

Dear Ms. Broadway:

The Division of Licensing and Regulatory Services received a complaint regarding an allegation of drug diversion regarding Karen Lea.

The Division substantiated the allegation against Karen Lea diverted drugs. Since this complaint involved Karen Lea, the Division is forwarding you a copy of the investigation report and supporting documents. Please make sure Karen Lea receives the redacted copy.

If you have any questions or require further information, please contact me at 287-9340. Thank you.

Sincerely,

Tammy Steuber

Paralegal

Division of Licensing and Regulatory Services

Enclosure



STATE'S EXHIBIT 2

Fax (207) 990-1587

Myra Broadway J.D., MS, RN Executive Director Maine State Board of Nursing 158 State House Station Augusta, Me 04333-0158

Dear Ms. Broadway,

On 5-3-10, Bangor Nursing and Rehab Center scheduled Karen J. Lea, RN from MAS agency to cover the 7pm-7am shift. On 5-4-10, it was necessary to report this nurse to DHHS Division of Licensing and Regulatory Services, the Bangor Police Department, and the Attorney General for medication omissions, narcotic count discrepancies, and resident abuse.

The facility self-reported this RN for both potential drug diversion and resident abuse. The facility concludes that both allegations are substantiated.

Please see attached copy of our investigation.

Sincerely,

Donna Cianchette, RN

Acting Director of Nursing

Bangor Nursing and Rehabilitation Center

Down Crauchette for

Phillip Bennett

BNRC

Me Challes

MAY 12 2010

MAINE STATE BOARD OF NURSING

AUG-12-2010 14:17		1111-1111-11111111111111111111111111111	P.03
Docket No. PENCD-CR-2010-01744	County/location	B-3-10	DOB 10/30/1975
State of Maine v. KAREN LEA		Residence: 34 LEES COURT AUGUSTA ME 04330	STATE'S EXHIBIT
Offense(s) charged: THEFT BY UNAUTHORIZED Class: E DOV: 05/04/2010 UNLAWFUL POSSESSION OF Class: E DOV: 05/04/2010 UNLAWFUL POSSESSION OF Class: E DOV: 05/04/2010	Seq #: 8423 Title: 17-A / 353 / 1 / A FSCHEDULED DRUG Charge: 2 Seq #: 8574 Title: 17-A / 1107-A / 1 / F	Charged by: indictment information complaint Plea(s): Nolo Not Guilty	S Lenead 800
Class: E DOV: 05/04/2010 Class: E DOV: 05/04/2010 Class: E DOV: 05/04/2010 Class: E DOV: 05/04/2010	Scq #: 8574 Title: 17-A / 1107-A / 1 / F OF SCHEDULED DRUG Charge: 3 Seq #: 8573 Title: 17-A / 1107-A / 1 / E	Convicted on: Dolea Jury verdict Court finding 4: Unlaw Poss Drug	8574 SE)
It is adjudged that the defendant without needless delay remove to The custody of the Commiss imprisonment for a term of The County jall to be punished.	is guilty of the offenses as shown above and convibe hereby committed to the sheriff of the within the defendant to: ioner of the Department of Corrections, at a facility of the properties of the Department for a term of	named county or his authorized represe	ntative who shall be punished by
Execution stayed to on or bef		(a.m.)(p.m.)	
Said probation to commence (Said administrative release to c	of th	e sentence (as it relates to confinement on a period of probation admi- attached hereto and incorporated by reformpletion of the unsuspended term of the initial portion of the foregoing sen	nistrative release erence herein imprisonment). tence at
Notification Act as al_10-year re	ving been convicted of an offense that requires con gistrant or a Ulife-time registrant, must satisfy all Ch.15) The defendant must submit to the taking of	remittements in the Cay Offenday Day	ristention and
S30.00 \$125.00 surcharge (29 \$2 \$10 assessment(s) \$10 assessment(s) \$10 \$10 \$10 \$10 \$10 \$10 \$10 \$10 \$10 \$10	s and assessments of: 4%(Eff. 9/18/99) 15% surcharge (Eff. 08/01/02 P-A M.R.S.A. Section 2411) 1510 (7 M.R.S.A.) b) plus 1 \$25 assessment(s) totalling 5 y in full by) 20%(Eff. 07/30/04) 10% (17 M.R.S.A.)	ided.
TOTAL DUE: \$ 98	week month beginning 813/0	or warrant to (To be filled in by cashi	issuc.

through the (Department of Correcti	onsi(L vict Attorney's Offic	c) for the benefit of	P. 04
Execution/payment stayed to pay		(17 A	M.R.S.A. Section 1152-2-A)
Litt is ordered pursuant to applicable at	latulus, that the defendant's me and obtain a license and/or the	otor vehicle operator's license or permi	it to operate, right to operate a rehicle is suspended in accordance
It is ordered that the defendant perfor	PPA	f community service work within	(weeks)
It is ordered that the defendant pay 5 ahove name county. (Up to \$80/Day) Execution/payment stayed to pay it	(17-A M.R.S.A. Section 1314	tor each day served in the count	ty jail, to the treasurer of the
offenders administered by the Office	articipate in alcohol and other of Substance Abuse, (29 M.R.	r drug education, evaluation and treatm S.A. Section 1312-B (2)(D-1), 29-A M	A.R. S. A. Section 2411(5)(5))
(17-A M.R.S.A. Section 1158)	to the state the firearm used b	y the defendant during the commission	of the offense(s) shown above.
It is ordered that the defendant be unce	onditionally discharged. (17-A	M.R.S.A. Section 1201)	
If the defendant has been convicted of a DNA sample drawn at any time following probation period as directed by the probat	an applicable offense listed in ng the commencement of any tion officer.	n 25 M.R.S.A. Section 1574, then the d term of imprisonment or at any time for	ollowing commencement of the
It is further ordered that the clerk deli- his authorized representative and that the contained in the court record or in attachin		gment and Commitment to the Sheriff t of the defendant. Reasons for imposir	of the above named county or ag consecutive sentences are
A TRUE COPY, ATTEST:	Clerk	915	Judge / Justice
that the disclosure of my Social Security me will be used to facilitate the collection of at am due a State of Maine income tax refund State of Maine as a result of having had an owe to the State of Maine will be accomplished.	. My Social Security number a	also may be used to facilitate the collection me. Collection of any fine or reimbute to the State against my State of Main	ains unpaid as of the time I ction of money I may owe the
Date: 420st3,2010	Defendant Address	Mende-	
WARRIED CONTROL CONTRO			
By virtue of the within JUDGMENT A!	RETUR		O COLOR DE LA COLO
By virtue of the within JUDGMENT AN	ID COMMITTAINING AMERICA	is day delivered the within named Del	fendant to the
Date:	Дер	outy	
By virtue of this warrant, the within-nam	ed Defendant has been remove	ed to and received at the	
Date:		on this	s day.
	Auth	norized Officer/SuptM.C.C/Wardon N	M.S.P.
		,	